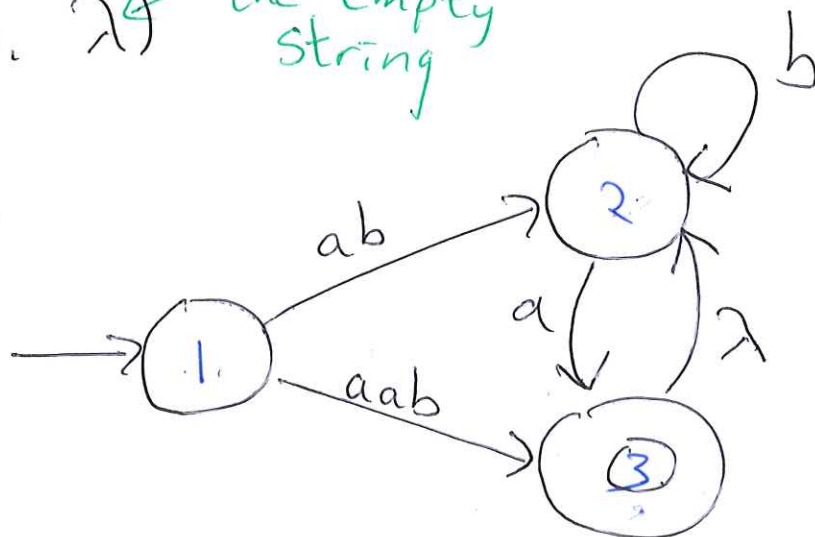


# Nondeterministic Finite Automata

These are automata (like last week) where, given a state and a letter, there might be multiple ways of leaving that state.

I will (slightly differently from book) allow arrows to be labelled w/ any string (incl.  $\lambda$ ) <sup>the empty string</sup>

E.g.



We are biased towards acceptance - if there is a path to ~~an~~ ~~accept~~ a final state the string is accepted.

One ~~of~~ way of thinking - we have a network of toll roads - chop off some part of the beginning of your string to pay toll - if you can pay out your entire

string and end up at a final state,  
you accept - if you can't, you reject.

It might ~~be~~ take a lot of work  
to tell a string should be rejected - b/c  
you have to make sure none of the  
possibilities work.

~~###~~ Is aab accepted? ✓

a

X

ab

X

aaba

✓

aabba

✓

abba

✓

abab

X

Now I have to tell you ~~form~~ a formalization of how this works - which strings are accepted

We'll define a generalized transition function  $\delta^*: Q \times T^* \rightarrow \text{set of states } \mathcal{P}(Q)$

~~Eq.~~ For our example, we should have

$$\delta^*(1, a) = \emptyset = \{\}$$

$$\delta^*(1, aab) = \{2, 3\}$$

↑  
power set of  
the set of states  
"  
set of all subsets  
of  $Q$

$\delta^*(q, w) =$  ① take all ways of breaking up  $w$  into  $w = uv$  ( $u$  +  $v$  are strings; could be empty)

② take  $\delta^*(q, u)$  - this will give me a set  $S$  of states

③ take all ways of pay a toll  $v$  from a state in  $S$ .

## Mathematical formalization:

Data structure: A NFA (nondeterministic finite automaton) is a 5-tuple

$$M = (Q, \Gamma, \delta, q_0, F) \text{ where}$$

$Q$  is a finite set (of states)

$\Gamma$  is a finite set (the alphabet)

$\delta$  is a finite relation: a finite subset of

$$(Q \times \Gamma^*) \times Q$$

↑  
strings  
in alphabet  $\Gamma$

$q_0 \in Q$  the initial state

$F \subseteq Q$  the set of final states.

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For our example:

$$Q = \{1, 2, 3\}$$

$$\Gamma = \{a, b\}$$

$$q_0 = 1$$

$$F = \{3\}$$

$$\delta = \left\{ \begin{array}{l} ((1, ab), 2), ((1, aab), 3), \\ ((2, a), 3), ((2, b), 2), \\ ((3, \lambda), 2) \end{array} \right\}$$

there exists

$$\delta^*(q, w) = \bigcup_{\substack{w=uv \\ v \neq \lambda}} \{ q'' \mid p \in \delta^*(q, u) \text{ with } (p, q'') \in \delta \}$$

~~It~~ recursive case of def'n of  $\delta^*$   
~~is~~ ~~then~~

If  $w = \lambda$ , then

$\delta^*(q, w) =$  all states I can get to using free roads (i.e. paths labelled  $\lambda$ ).

$\delta^*(q, \lambda)$  is recursively defined by

(B)  $q \in \delta^*(q, \lambda)$

(R) If  $(p, q'') \in \delta$ , and  $p \in \delta^*(q, \lambda)$ , then  $q'' \in \delta^*(q, \lambda)$ .

base case of def'n of  $\delta^*$

Work this out for our example:

$\delta^*(1, aab)$  should be  $\{2, 3\}$ .

Is this what our def'n says?

~~Useful ways of  $\delta$ :~~

Ways of splitting up  $w = aab$  into  $w = uv$

①  $u = \lambda$   $v = aab$

②  $u = a$   $v = ab$

③  $u = \cancel{a}aa$   $v = \cancel{a}b$

~~④  $u = aab$   $v = \lambda$~~

We should take the union over all these ways of splitting up  $w$  of

$\{q \mid \text{there exists } q' \in \delta^*(q, u) \text{ with } ((q', v), q) \in \delta\}$

①  $\{q'' \mid \text{there exists } q' \in \delta^*(1, \lambda) \text{ with } ((q', aab), q'') \in \delta\} = \{3\}$

②  $\{q'' \mid \text{there exists } q' \in \delta^*(1, a) \text{ with } ((q', ab), q'') \in \delta\} = \{3\}$

③ → { }